

. 2004 OCT 20 ₱ 3:05

Writer's direct phone (617) 946-4828

Writer's e mail jdohertyr@seyfarth.com U.S. DIST COURT ORIGINAL DISTRIC, OF HASS. ORIGINAL

World Trade Center East

Two Seaport Lane

Suite 300

Boston, MA 02210-2028

617-946-4800

fax 617-946-4801

www.seyfarth.com

October 20, 2004

By Hand

Civil Clerk of the Court United States District Court for the District of Massachusetts One Courthouse Way Boston, MA 02210

Re:

Keith Kilelee v. Dow Chemical Company

Civil Action No. 1:04-CV-12130-MEL

Dear Sir/Madam:

Pursuant to Local Rule 81.1, enclosed please find for filing certified copies of all records and proceedings from Barnstable Superior Court.

Kindly acknowledge the receipt and filing of the above documents by date-stamping the enclosed copy of this letter and returning it in the enclosed stamped, self-addressed envelope.

Thank you for your assistance in this matter.

Very truly yours,

SEYFARTH SHAW LLP

Joanne Doherty

Joanne M. Doherty

Paralegal

Enclosures

Sara A. Arons, Esq.

Sherry H. Heins, Esq. Lisa J. Damon, Esq.

Kristin McGurn, Esq.

David G. Prentiss, Esq. (w/enclosures)

Commonwealth of Massachusetts

BARNSTABLE, ss.

SUPERIOR COURT

I, John S. Dale, First Assistant Clerk of the Superior Court within and for the County of Barnstable, having, by law, the custody of the seal and all the records, books, documents and papers of, or appertaining to said Court, hereby certify that the papers hereto annexed are true copies of the papers appertaining to said Court, and on file and of record in the Office of said Court, relating to the Case of KEVIN KILELLEE v. DOW CHEMICAL COMPANY, case #04-539.

In witness whereof I have hereunto set my hand and the seal of said Court, at Barnstable, this fifteenth day of October in the year of our Lord two thousand four..

First Assistant Clerk

CIVIL ACTION 04-cv-12 PSG-MEE Document 4 **COVER SHEET**

04-539

Filed 10/2012/2014 Court of Massachusetts **Superior Court Department** County:

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PLAINTIFF(S)	DEFENDANT(S)
Kevin Kilelee	SUPERIOR COURT DOW Chemical CorporagnismasLESS
ATTORNEY, FIRM NAME, ADDRESS AND TELEPHONE	ATTORNEY (if known)
David G. Prentiss, Esq. 700 Pleasant St. New Bedford, MA 02740 Board of Bar Overseers number: 405620	FILED SEP 0 7 2004
Origin code and	track designation
Place an x in one box only: ☐ 1. F01 Original Complaint ☐ 2. F02 Removal to Sup.Ct. C.231,s.104 (Before trial) (F) ☐ 3. F03 Retransfer to Sup.Ct. C.231,s.102C (X)	 4. F04 District Court Appeal c.231, s. 97 &104 (After trial) (X) 5. F05 Reactivated after rescript; relief from judgment/Order (Mass.R.Civ.P. 60) (X) 6. E10 Summary Process Appeal (X)
TYPE OF ACTION AND TRACK I	DESIGNATION (See reverse side)
CODE NO. TYPE OF ACTION (specify) TRACK	IS THIS À JURY CASE?
	nt of the facts on which plaintiff relies to determine
ney damages. For this form, disregard double or t	reble damage claims; indicate single damages only.
TORT C	
(Attach additional sh A. Documented medical expenses to date:	eets as necessary)
Total Doctor expenses Total chiropractic expenses Total physical therapy expenses Total other expenses (describe) B. Documented lost wages and compensation to date C. Documented property damages to date D. Reasonably anticipated future medical and hospital expense	\$
(
	\$ TOTAL \$
CONTRACT (Attach additional shape) Provide a detailed description of claim(s): Defendant bre failing to qualify Plaintiff's domestic pension benefits.	eets as necessary) ached its pension plan provision by
	TOTAL \$. undetermined
PLEASE IDENTIFY, BY CASE NUMBER, NAME AND COUNTY COURT DEPARTMENT	ANY RELATED ACTION PENDING IN THE SUPERIOR
"I hereby certify that I have complied with the requirements Dispute Resolution (SJC Rule 1:18) requiring that I provide resolution services and discuss with them the advantages a	ny clients with information about court-connected dispute
Signature of Attorney of Record	DATE: 9/2/2004

Case 1:04-cv-12130-MEL Document 4 Filed 10/20/2004 Page 4 of 26

> COMMONWEALTH OF MASSACHUSETTS TRIAL COURT OF THE COMMONWEALTH SUPERIOR COURT DEPARTMENT

BARNSTABLE SS SEP 0 7 2004 FILED

Clerk

SUPERIOR COURT

BARNSTABLE DIVISION

Civil Action

Case No.: 04-539

36**52606000**6-37.3411.11 502H00000-07/049URCHHR2E 3452400000-41 245810

5652400000F et oleummo**k**e

VS.

KEVIN KILELEE,

DOW CHEMICAL COMPANY, Defendant

Plaintiff

COMPLAINT

Jurisdiction

This action seeks declaratory relief under MGL Chapter 231A section 1 whereby plaintiff sees 1. a determination that the domestic partner of the plaintiff, Linda Enz, is entitled to joint and survivor pension benefits under Union Carbide Corporations pension plan and damages for breach of contract and the tort of negligence.

Factual Allegations

- The plaintiff, Kevin Kilelee, has an address of 12 Ploughed Neck Road, East Sandwich, MA. 2.
- The plaintiff is a retired employee of Union Carbide Corporation and a participant in the Union 3. Carbide Corporate pension plan.
- The defendant Dow Chemical Company is a foreign corporation doing business in 4. Massachusetts with a registered agent of CT Corporation System, 101 Federal Street, Boston, MA 02110.
- The defendant Dow Chemical Company is the parent of its subsidiary Union Carbidel 5. Corporation and administers its pension plan.
- On or about May of 2002 plaintiff inquired to Union Carbide Retiree Services as to adding his 6. domestic partner, Linda Enz, for health benefits through the retiree health care plan.
- On or about May of 2002 plaintiff received from Union Carbide Retiree Services instructions of 7. how to add a domestic partner for health care benefits and for survivor benefits from his pension. See Exhibit "A" attached.
- Exhibit "A" does not state a 120 day requirement from the date of the Domestic Partner 8.

Statement for the retired employee to elect survivor benefits for his domestic partner.

- On May 24, 2002 plaintiff filed a Medical Plan Enrollment Form and Domestic Partner 9 Statement with Union Carbide Retiree Services. See Exhibit "B" attached.
- On or about May 2002 plaintiff in a phone conversation with Union Carbide Retiree Services 10. was informed he could elect to include his domestic partner for health benefits and there was no time limit in adding his domestic partner for survivor benefits under his pension plan.
- On or about June 2003 plaintiff, relying on this phone conversation of May 2002 and the 11. instructions in Exhibit "A" submitted a statement for the purpose of entitling his domestic partner to survivor benefits from his pension.
- On December 1, 2003, the defendant's Union Carbide Company Retirement Board heard 12. plaintiff's appeal of a denial of his request to allow him to designate his domestic partner, Lindal Enz, for survivor benefits under Union Carbide Employees' Pension Plan ("Plan").
- The defendant denied plaintiff's request to add his domestic partner as not made within 120 13. days of their Domestic Partner Declaration. See Exhibit "C" attached.
- Under the sixth amendment to the Plan effective May 1, 2002, a Domestic Partner shall be 14. treated as a Spouse for all purposes of the Plan ('emphasis added) other than any spousal consent requirements under the Plan.
- Nothing in the plan states a marriage date is equivalent to the date of a Domestic Partner 15. Statement.

Negligence

- The instructions by the defendant to the plaintiff had two requirements [1] submit a statement 16. that he wished to add his domestic partner for survivor pension benefits and [2] his Domestic Partner Statement had to be in place at the time of the election. See below:
- The Domestic Partner Statement must be in place at time of benefit commencement, or if you retired after January 1, 1993 single, at the time you submit your statement. If you deferred your benefit, you can still obtain survivor coverage for your Domestic Partner.
 - The defendant had a duty to inform the plaintiff of the 120 day window to add his domestic 17. partner when he submitted his Medical Plan Enrollment Form.
 - The defendant negligently failed to notify the plaintiff of the 120 day window to add his 18. domestic partner to his pension plan.
 - The plaintiff and Linda Enz have been damaged by this omission of the plaintiff including loss 19. of consortium.

Breach of Contract

- 20. The defendant misinterprets its pension contract and breaches the pension agreement as amended by refusing to recognize the election of the plaintiff to include his Domestic Partner for survivor pensions benefits.
- 21. The plaintiff has been damaged by loss of financial benefits for his domestic partner, loss of consortium and uncertainty of the financial well being of his domestic partner if he were to die first.

WHEREFORE, Plaintiff demands:

- A. A declaratory judgment finding that the domestic partner of the plaintiff, Lind Enz, be deemed to have become eligible for joint and survivor pension benefits when plaintiff filed his request in June 2003 plus costs of this law suit, and attorney fees.
- B. Judgment against the defendant for damages, plus interest costs of this law suit, and attorney fees.

THE PLAINTIFF CLAIMS A TRIAL BY JURY IN THE ABOVE ENTITLED ACTION.

KEVIN KILELEE By his attorney,

Dated: September 03, 2004

David G. Prentiss, Esquire David G. Prentiss, P.C.

700 Pleasant Street, Fourth Floor

New Bedford, MA 02740 Telephone 508-996-9229

BBO # 405620

Exhibit "A"

Document 4

Filed 10/29/2004 Page 8/of 26 auch Mike Sta Disco.

Union Carbide Corporation

A Subsidiary of The Dow Chemical Company Retiree Services, G-1 39 Old Ridgebury Road Danbury, CT 06817-0001

Dear Retiree,

Enclosed is the Statement of Domestic Partnership and enrollment form that you requested from the Retiree Call Center. Please read the statement to verify if your situation meets the UCC requirements of a domestic partnership for the purposes of enrollment in health benefits and entitlement to survivor pension benefits.

Your domestic partner is eligible for health benefits through your retiree health care plan. If you enroll your domestic partner in your health benefits, you will pay the premium appropriate for your new level of coverage. In addition, the costs of covering your domestic partner that are paid by UCC will be charged to you as imputed income.

The premium you pay towards your retiree health care is only a portion of the actual costs of providing that coverage. UCC pays the remaining portion of the costs. When you cover your domestic partner, UCC will pay the remaining portion of the costs after you pay your premium however the portion that UCC pays will be added to your income as taxable income. Therefore, you will pay taxes on the actual cost of providing health benefits to your domestic partner.

The "Working Spouse Rule" may affect eligibility for coverage. This rule applies to Domestic Partners who are employed full-time or retired, and are eligible for another employer's medical plan. Under this rule, if your Domestic Partner is working full-time or is retired, and their employer / former employer offers company subsidized health insurance, you may not cover your Domestic Partner as a Dependent in your MHC or CMP medical plan unless your Domestic Partner is enrolled in his/her employer's plan. Your Domestic Partner's plan would provide his/her primary coverage. Your MHC or CMP plan would provide secondary coverage.

Additionally, your domestic partner may be eligible for survivor benefits from your pension plan in the event of your death. If you submit a statement for the purposes of your domestic partner being entitled to survivor benefits, please note the following:

- If the law does not require a waiver of a benefit, no waiver will be required by UCC. If you
 identify someone other than your domestic partner as your beneficiary, your domestic
 partner does not have to sign a waiver.
- The Domestic Partner Statement must be in place at time of benefit commencement, or if you retired after January 1, 1993 single, at the time you submit your statement. If you deferred your benefit, you can still obtain survivor coverage for your Domestic Partner.
- If you die and you are vested and deferred, and you have a statement of a Domestic Partner on record at UCC at time of death, your domestic partner may be entitled to survivor benefits.

If you meet the requirements and you would like to enroll your domestic partner in your health benefits, please do the following:

- Read and provide the required signatures on the Statement <u>Including</u> Domestic Partner's Social Security number and Date of Birth
- Make sure you have two forms of proof of financial interdependence
- Complete and return the enclosed Medical Plan Enrollment form

Send the completed Domestic Partner Statement and Medical Enrollment form to:

UCC Retiree Services 39 Old Ridgebury Road Danbury, CT, 06810

If you have any questions, you can contact UCC Retiree Services at 1-800-223-3540.

Sincerely,

Mary Weber

Mary Weber Manager, US Benefits Center Case 1:04-cv-12130-MEL Document 4 Filed 10/20/2004 Page 10 of 26

Exhibit "B"



Medical Plan Enrollment Form

SECTION RETIREE INFORMATION				٦		
Last Name First	Middle Initial	T =				
KILELEE KEVIN	Social Security Number			Date of Birth Sex		Sex
Home Address:		014-5	0Z 8 28 6 City:	12-12		ØM □F
12 MOUGHED NEEK RO			1 1 1 1 Co		State	Zip
Are you eligible for Medicare?	No		Home Telephone	DUI CH	MA	02537
If surviving spouse, please indicate name and Social Security number of deceased retiree:	iree Name			300 - C	888-	<u>8032</u>
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SECTION II - DEPENDENT INFORMATION Plan eligibility rules	-PAHTB-UNMA: apply⊹	RRIED CH	HLDREN OF D	OMESTIC	PARTNER	
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1.	Date of Birth	Sex (M/F)	IRS Dependent (Y/N)			Domestic
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SECTION III- OTHER COVERAGE (This section Indicates when I						
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SECTION IV - RETIREE/SURVIVING SPOUG	ECICHATURE					
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that all claims submitted will be only for indications notify Retiree Services in writing within	ated individuals wh	ioove inioi	rmation is comp	lete and ad	curate. I	also certify
must notify Retiree Services in writing within information changes.	thirtý-one (31) day	s of a stat	Us channe and	i the group	pian. j uni	derstand i
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indefinitely but reserves the right to amend, mas may be made by the Company. In the eve	odify, suspend or	terminate	these plans out	anvompre siect to suc	nensive M	edical Plan
as may be made by the Company. In the ever described in the Summary Plan Description for	nt the Plan(s) is re	vised or d	liscontinued, be	nefits will h	e provider	igreements
described in the Summary Plan Description for Plan(s).	or eligible services	performed	d prior to the dal	te of the te	rmination	of the
						ĺ

Please Return to: Retiree Services - G1
Union Carbide Corporation
39 Old Ridgebury Road
Danbury, CT 06817

1. **DECLARATION**

In order to establish a domestic partner relationship to qualify for certain benefits that The Dow Chemical Company and certain of its subsidiaries ("Dow") determines to offer in their sole discretion to Domestic Partners from time to time,

MEV:N KILEVELI 072-3Z-82 and LINDA FN2
Domestic Partner Name (print) Retiree Name (print) and Social Security #

certify that we are Domestic Partners in accordance with the criteria listed in Section II of this Statement and we certify further that we have read and understand all of the provisions of this Statement.

11. CRITERIA

We certify that we meet all of the following criteria:

- We have lived together for at least twelve (12) consecutive months Α. immediately preceding our signing of this Statement;
- В. We are not married to other persons;
- C. We are each other's sole domestic partner in a committed relationship similar to a legal marriage relationship and we intend to remain in the relationship indefinitely;
- If we reside in a state or municipality which provides for registration of D. domestic partners, we have so registered and we have provided the Company with evidence of such registration;
- We are both legally competent and able to contract; E.
- F. We are not related to each other in a way which would prohibit legal marriage between opposite sex individuals;
- G. We are not acting fraudulently or under duress; and

the following two items of proof evidencing our financial interdependence: (check any two of the following) _____ proof of joint bank account. ____ proof of joint lease/ownership of mutual residence. ____ joint billing statements for residential utilities (gas, electric, telephone, etc.). ____ joint insurance documents (property, life, automobile) ____ joint credit card accounts. ____ joint loan agreements.

Filed 10/20/2004

Page 13 of 26

III. CHANGE IN DOMESTIC PARTNERSHIP

joint automobile ownership.

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We agree to notify The Dow Chemical Company, or in the case of an employee / retiree benefit plan, the Plan Administrator (collectively referred to in this Statement as "the Company") if there is any change in our status as it relates to our Domestic Partner relationship. We further agree that such notification must be made within 30 days of a change in status by the Retiree submitting to the Company a Termination of Domestic Partner Relationship form.

We acknowledge that only the Retiree's signature is required on such form and that Company is under no obligation to notify Domestic Partner of the filing of the Termination of Domestic Partner Relationship form or termination of any applicable benefits.

We understand that, regardless of whether a Termination of Domestic Partner Relationship form is filed, a Domestic Partner relationship is no longer recognized by the Company if the Retiree and Domestic Partner no longer meet the criteria of a domestic partner relationship as set forth in Section II of this Statement, the effect of which shall be the same as if a Termination of Domestic Partner Relationship form has been filed. With respect to relocation benefits, such benefits for the Domestic Partner shall automatically cease at the end of the month following the earliest of any of the following:

- A. the termination of Employee's employment with the Company
- B. the death of Retiree
- C. the death of Domestic Partner
- D. the failure of Retiree and Domestic Partner to continue to meet the criteria for a domestic partner relationship as set forth in Section II of this Statement.
- E. the filing of a Termination of Domestic Partner Relationship form with the Company

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Retiree understands that another Statement of Domestic Partner Relationship for any new or former domestic partner cannot be filed with the Company until at least twelve (12) months after there has been a termination of Domestic Partner benefits for any reason.

IV. ACKNOWLEDGEMENTS

We further understand and agree as follows:

- A. We certify that this Statement is submitted for the purpose of securing certain benefits for Domestic Partner and we affirm under penalties of perjury that the statements made in this Statement are true and accurate representations to the best of our knowledge.
- B. We understand that if any of the representations contained in this Statement is false or fraudulent, any benefits provided to Domestic Partner will be void or voidable, retroactive to the date of this Statement.
- C. We understand that we are jointly and severally responsible for the reimbursement of any expenses incurred as a result of any false or misleading statement contained in this Statement, or as a consequence of failing to notify the Company of a changed circumstance affecting the eligibility of our domestic partner relationship. Such expenses may include legal fees and the cost of any benefits paid by the Company to Domestic Partner.
- D. We understand that the purpose of this Statement is to establish a domestic partner relationship only and, that by accepting this Statement the Company does not guarantee eligibility for coverage or benefits for Domestic Partner as eligibility for coverage and benefits is determined on the basis of all of the terms and conditions of the applicable Company benefits policies or plans, and state and federal law.
- E. We acknowledge that we are advised to consult an attorney regarding the possibility that the filing of this Statement may have certain legal and tax consequences, including the fact that it may, in the event of a termination of the domestic partner relationship, be regarded as a factor leading a court to treat the relationship as the equivalent of marriage for the purpose of establishing and dividing community property, or for ordering payment of support.
- F. Retiree acknowledges that the making of any false or misleading statements in this Statement may lead to disciplinary action by the Company which may include dismissal.

- A. Dow reserves the right to modify or amend, at any time and in any way whatsoever, the terms of any applicable benefits, including eligibility requirements or the terms and conditions for coverage of domestic partners or to terminate coverage completely.
- B. Dow reserves the right to modify the criteria for establishing a domestic partner relationship and to request appropriate additional documentation in support of this Statement.

We declare, under penalty of perjury under governing state laws, that the statements set forth above are true and correct.

Retiree:

Signature

Date:

Domestic Partner:

Signature

Date:

Please send the statement to:

UCC Retiree Services 39 Old Ridgebury Rd. Danbury, CT 06810 Exhibit "C"



The Dow Chemical Company Midland, Michigan 48674

December 19, 2003

Kevin Kilelee 12 Loughed Neck Rd. East Sandwich, MA 02537

Dear Mr. Kilelee:

On December 1, 2003, The Dow Chemical Company Retirement Board heard your request to allow you to designate your domestic partner for survivor benefits under Union Carbide Employees' Pension Plan ("Plan"). Unfortunately, the Retirement Board denied your request.

The terms of the Plan dictate how the Plan is administered. The Plan is qualified under rules established by the Internal Revenue Service. The terms of the Plan must be followed consistently for all individuals, and exceptions cannot be made, in order for the Plan to remain qualified under rules established by the Internal Revenue Service.

Specifically, Article 8.1.2 (b) of the Plan, states, in relevant part:

An election shall be permitted only if the Participant's election to convert his or her Retirement Pension to a Qualified Joint and Survivor Annuity is made no later than one-hundred twenty (120) days following the date the Participant marries. (emphasis added)

In addition, Item #2 of the Sixth Amendment to the Plan, states, in relevant part:

Effective May 1, 2002, a Domestic Partner shall be treated as a Spouse for all purposes of the Plan (emphasis added) other than any spousal consent requirements under the Plan.

Since an election of Qualified Joint and Survivor Annuity was not made within 120 days of your filing of the Domestic Partner Statement, your domestic partner is not eligible to receive survivor benefits under the terms of the Plan.

December 19, 2003 Page 2

This decision will be deemed binding and conclusive unless you notify the Retirement Board within 90 days after the date of this letter that you intend to institute legal proceedings challenging that decision, and actually institute such proceedings within 180 days after the date of that letter. You may also request a review of any documents that you deem relevant to your claim.

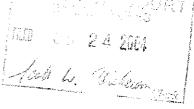
Tommy Block

Chairperson, Retirement Board Under Services Agreement

/lla

Commonwealth of Massachusetts

BARNSTABLE, ss.



SUPERIOR COURT
No. BACV2004-00539-A

Kevin Kilelee, Plaintiff

VS.

DOW Chemical Company, Defendant

SUMMONS

To the above-named defendant :
You are hereby summoned and required to serve upon Attorney David G. Prentiss,
plaintiff's attorney, whose address is
7.00. Pleasant Street, New Bedford, MA 02740 and answer to the complaint which is herewith served upon you, within 20 days after service of this summons upon you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. You are also required to file your answer to the complaint in the office of the Clerk of this court at Barnstable either before service upon plaintiff's attorney or within a reasonable time thereafter.
Unless otherwise provided by Rule 13(a), your answer must state as a counterclaim any claim which you may have against the plaintiff which arises out of the transaction or occurrence that is the subject matter of the plaintiff's claim or you will thereafter be barred from making such claim in any other action.
Witness, SUZANNE V. DEL VECCHIO Esquire, at Barnstable, the 9th
day of September, in the year of our Lord two thousand and 04
Sort W. Mickeyen Clerk

NOTICE TO DEFENDANT

You need not appear personally in court to answer the complaint but if you claim to have a defense, either you or your attorney must serve a copy of your written answer within 20 days as specified herein and also file the original in the Clerk's office.

separate summons is issued for each defendant, each should be addressed to the particular defendant.

NOTE: When more than one defendant is involved, the names of all defendants shall appear in the caption. If a

Case 1:04-cv-12130-MEL

Document 4

Filed 10/20/2004

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COMMONWEALTH OF MASSACHUSETTS

BARNSTABLE, ss.	SUPERIOR COURT DEPT. OF THE TRIAL COURT
KEVIN KILELEE,	ORIGINAL
Plaintiff,	
v.	Civil Action No. BACV2004-00539
DOW CHEMICAL COMPANY,)
Defendant.)))

NOTICE OF FILING NOTICE OF REMOVAL

To:

Civil Clerk of the Court Barnstable Superior Court Courthouse, 319 Main Street

Barnstable, MA 02630

David G. Prentiss, Esq.

Law Offices David G. Prentiss, P.C.

Fleet Bank Building

New Bedford, MA 02740

PLEASE TAKE NOTICE that Defendant Dow Chemical Company ("Dow"), on October 7, 2004, filed with the United States District Court for the District of Massachusetts its Notice of Removal pursuant to 28 U.S.C. §§ 1331, 1441 and 1446. A copy of the Notice of Removal (without exhibits) is attached hereto as "Exhibit A."

Respectfully submitted,

DOW CHEMICAL COMPANY,

By its attorneys

Lisa J. Damon (BBO #644741)

Kristin G. McGurn, Esq. (BBO #559687)

SEYFARTH SHAW LLP World Trade Center East Two Seaport Lane, Suite 300 Boston, MA 02210-2028

(617) 946-4800

Dated: October 8, 2004

CERTIFICATE OF SERVICE

I, Kristin G. McGurn, hereby certify that on this 8th day of October, 2004, a true copy of the foregoing document was mailed, postage prepaid, to David G. Prentiss, Esq., Law Offices of David G. Prentiss, P.C., fleet Bank Building, 700 Pleasant Street, Fourth Floor, New Bedford, MA 02740.

Kristin G. McGurn

.

UNITED STATES DISTRICT COURT CLERKS OFFICE FOR THE DISTRICT OF MASSACHUSETTS

KEVIN KILELEE,

Plaintiff,

O4 1 $2^{\text{DISTRICT COUR1}}$ V.

Civil Action No.

DOW CHEMICAL COMPANY,

Defendant.

I HEREBY ATTEST AND CERTIFY OF A NO OF THAT THE FOREGOING DOCUMENT IS A FUEL, TRUE AND CORRECT COPY OF THE CRIGINAL ON FILE IN MY OFFICE AND IN MY LEGAL CUSTODY.

NOTICE OF REMOVAL

Pursuant to 28 U.S.C. §§ 1331, 1441 and 1446, Defendant Dow Chemical Company ("Dow" or "Defendant"), hereby removes the above-captioned matter, which is now pending in the Superior Court of the Commonwealth of Massachusetts in the county of Barnstable as Civil Action No. BACV2004-00539-A, to the United States District Court for the District of Massachusetts (the "District Court").

As grounds for this removal, Dow states the following:

- 1. This is a civil action over which the District Court has jurisdiction under 28 U.S.C. §§ 1331, and Dow is entitled to remove to the District Court pursuant to 28 U.S.C. § 1441.
- 2. Although Dow denies that it is liable for any alleged damages, Plaintiff
 Kevin Kilelee ("Kilelee" or Plaintiff"), asserts claims for breach of contract and
 negligence and demands unspecified damages and declaratory relief based on Plaintiff's
 allegations concerning joint and survivor pension benefits under the pension plan of
 Dow's wholly-owned subsidiary, Union Carbide Corporation.

- 3. Plaintiff alleges that Dow unlawfully interfered with his domestic partner's loss of joint and survivor pension benefits. Because Plaintiff's claims relate to a plan governed by the Employee Retirement Income Security Act of 1974 ("ERISA"), 29 U.S.C. § 1001, et seq., this action involves a claim under ERISA and it presents a federal question. Accordingly, this Court has original jurisdiction under the provisions 28 U.S.C. § 1331.
- 4. The following constitutes all of the process, pleadings or orders received or served on Dow in this action, true and correct copies of which are attached as exhibits and incorporated herein as part of this Notice: Exhibit 1- Summons; Exhibit 2 Complaint; with exhibits and Exhibit 3 Tracking Order.
- 5. The aforesaid Complaint was filed with the Clerk of Courts for Barnstable Superior Court on or about September 9, 2004.
- 6. Dow was served with the Complaint on or about September 17, 2004. This Notice of Removal is filed within thirty (30) days after service of process. See 28 U.S.C. § 1446(b).
- 7. Pursuant to Local Rule 81.1(a), Dow will file certified or attested copies of all records and proceedings in the state court action and certified or attested copies of all docket entries therein, including a copy of this Notice of Removal, with this Court within thirty (30) days after filing this Notice of Removal.

WHEREFORE, Dow requests that the above action be removed to the United States District Court for the District of Massachusetts.

Respectfully submitted,

DOW CHEMICAL COMPANY,

By its attorneys

Lisa J. Damon (BBO #644741)

Kristin G. McGurn, Esq. (BBO #559687)

SEYFARTH SHAW LLP World Trade Center East Two Seaport Lane, Suite 300

Boston, MA 02210-2028

(617) 946-4800

Dated: October 7, 2004

CERTIFICATE OF SERVICE

I, Kristin G. McGurn, hereby certify that on this 7th day of October, 2004, a true copy of the foregoing document was mailed, postage prepaid, to David G. Prentiss, Esq., Law Offices of David G. Prentiss, P.C., fleet Bank Building, 700 Pleasant Street, Fourth Floor, New Bedford, MA 02740.

Kristin & McGurn

Case 1:04-cv-12130-MEL Document 4 Filed 10/20/2004 Commonwealth of Massachusetts

PARTIES

BARNSTABLE SUPERIOR COURT

Case Summary Civil Docket

10/15/2004 11:12 AM

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BACV2004-00539 **Kilelee v Dow Chemical Company**

File Date	09/07/2004	Status	Disposed: transfered to other court (dtrans)		
Status Date	10/15/2004	Session	A - Civil A- Barnstable	Superior Court	
Origin	1	Case Type	D13 - Declaratory judgement (231A)		
Lead Case		Track	А		
Service	12/06/2004	Answer	02/04/2005	Rule12/19/20	02/04/2005
Rule 15	12/01/2005	Discovery	10/27/2006	Rule 56	12/26/2006
Final PTC	04/25/2007	Disposition	09/07/2007	Jury Trial	Yes

Plaintiff Kevin Kilelee 12 Ploughed Neck Road Active 09/07/2004

700 Pleasant Street 4th Floor New Bedford, MA 02740 Phone: 508-996-9229 Fax: 508-966-9373 Active 09/07/2004 Notify

Private Counsel 405620

David G Prentiss

Defendant **Dow Chemical Company** Served: 09/16/2004

Served (answr pending) 09/16/2004

	Mhidhari a i	ENTRIESIMA
Date	Paper	Text
09/07/2004		Filing fee paid in the amount of \$\$275.00 including \$15.00 surcharge
		and \$20.00 security fee.
09/07/2004	1.0	COMPLAINT & civil action cover sheet filed
09/07/2004		Origin 1, Type D13, Track A.
09/16/2004	2.0	SERVICE RETURNED: Defendant made on September 16, 2004
10/12/2004	3.0	Notice of REMOVAL to US District Court of Massachusetts
10/15/2004		Case REMOVED this date to US District Court of Massachusetts

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A true copy, Attest: Clerk